

12/28/01

10028435.122801

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>For new nonprovisional applications under 37 CFR 1.53(b)</small>		Attorney Docket No.: Y637-03010 US
First Inventor or Application Identifier: Samuel S. VILLAREAL et al.		Title: ASSYMETRIC DISTRIBUTED BRAGG REFLECTOR FOR VERTICAL CAVITY SURFACE EMITTING LASERS

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>		<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		<b>ACCOMPANYING APPLICATION PARTS</b>	
2. <input checked="" type="checkbox"/> Specification Total Pages: 24		6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets: 3		7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>	
4. <input type="checkbox"/> Oath or Declaration Total Pages:		8. <input type="checkbox"/> English Translation Document (if applicable)	
a. <input type="checkbox"/> Newly executed (original or copy)		9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 15 completed)</small>		10. <input type="checkbox"/> Preliminary Amendment	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).</small>		11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard	
5. <input type="checkbox"/> Incorporation By Reference (usable if box 4B is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>		12. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.	
13. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		14. <input type="checkbox"/> Other:	
15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below		Check for \$ 0.00	
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.:		Prior application information: Examiner: Group Art Unit:	
16. Amend the specification by inserting before the first line the sentence:			
<input type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) of application Serial No. Filed on			
<input type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
<b>17. CORRESPONDENCE ADDRESS</b>			
Andrew A. Abeyta HONEYWELL INTERNATIONAL INC. 101 Columbia Road POB 2245 Morristown, NJ 07962 Telephone No.: (602) 313-3345 Facsimile No.: (602) 313-4559			
Name: John M. Kelly		Registration No.: 33,920	
Signature: <i>John M. Kelly</i>		Date: December 28, 2001	
Name:		Registration No.:	

This form is a **Replica of PTO/SB/17 (01/01)**

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
For FY 2001**

Patent fees are subject to annual revision

**COMPLETE IF KNOWN**

Application No.	T.B.D.
Filing Date	December 28, 2001
First Named Inventor	Samuel S. VILLAREAL et al.
Group Art Unit	T.B.D.
Examiner Name	T.B.D.
Attorney Docket No.	V637-03010 US

**TOTAL AMOUNT OF PAYMENT** \$920.00**METHOD OF PAYMENT (check one)**

☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
101 740 201 370		Utility Filing Fee	740
106 330 206 165		Design Filing Fee	
107 510 207 255		Plant Filing Fee	
108 740 208 370		Reissue Filing Fee	
114 160 214 80		Provisional Filing Fee	
<b>SUBTOTAL (1)</b>			<b>\$740.00</b>

**2. EXTRA CLAIM FEE**

Total Claims	Extra Claims	Fee from below	Fee Paid
10	20	10	180
3	3	0	84.00
Multiple Dependent Claims	0	0	0

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
103 18 203 9		Claims in excess of 20	
102 84 202 42		Independent claims in excess of 3	
104 280 204 140		Multiple dependent claim	
109 84 209 42		Reissue independent claims over original patent	
110 18 210 9		Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>			<b>\$180.00</b>

**3. ADDITIONAL FEES**

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
105 130 205 65		Surcharge - late filing fee or oath	
127 50 227 25		Surcharge - late provisional filing fee or cover sheet	
139 130 139 130		Non-English specification	
147 2,520 147 2,250		For filing a request for ex parte reexamination	
112 920* 112 920*		Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action	
115 110 215 55		Extension for reply within first month	
116 400 216 200		Extension for reply within second month	
117 920 217 460		Extension for reply within third month	
118 1,440 218 720		Extension for reply within fourth month	
128 1,980 228 980		Extension for reply within fifth month	
119 320 219 160		Notice of Appeal	
120 320 220 160		Filing a brief in support of an appeal	
121 280 221 140		Request for oral hearing	
138 1,510 138 1,150		Petition to institute a public use proceeding	
140 110 240 55		Petition to revive - unavoidable	
141 1,280 241 640		Petition to revive - unintentional	
142 1,280 242 640		Utility issue fee (or reissue)	
143 460 243 230		Design issue fee	
144 620 244 310		Plant issue fee	
122 130 122 130		Petitions to the Commissioner	
123 50 123 50		Processing fee under 37 CFR 1.17(a)	
126 180 126 180		Submission of Information Disclosure Statement	
581 40 581 40		Recording each patent assignment per property (times number of properties)	
146 740 246 370		Filing a submission after final rejection (37 CFR 1.129(a))	
140 740 240 370		For each additional invention to be examined (37 CFR 1.129(b))	
179 740 279 370		Request for Continued Examination (RCE)	
160 900 160 900		Request for expedited examination of a design application	
195 300 195 300		Publication fee	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** \$0.00**SUBMITTED BY**Name (Print / Type) Andrew A. AbeytaRegistration No. (Attorney/Agent) 39,582**Complete (if applicable)**Telephone 602-313-3345

Signature \_\_\_\_\_

Date 12/28/2001

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